

Search for the lepton-flavour violating decays

$$B^+ \rightarrow K^+ \mu^\pm e^\mp$$



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⇒ Twiki:

<https://twiki.cern.ch/twiki/bin/viewauth/LHCbPhysics/B2hemu>

⇒ Time scale:

- WG approval 21st Nov. 2018
- Unblinding 25th Apr. 2019

⇒ Journal target: PRL

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Motivation

- ⇒ Good „old” anomalies: P'_5 , R_K , R_{K^*} can implicate the existence of $b \rightarrow s\mu e$.
- ⇒ LQ, neutrino CP violation, Z' : $\mathcal{B}(B \rightarrow K e \mu) = 10^{-8} - 10^{-10}$.
- ⇒ Particularly interesting in LQ: $\mathcal{B}(B \rightarrow K e \mu) = \frac{1-R_K}{0.23} \times 10^{-8}$ should be accessible within analysis sensitivity.
- ⇒ Current best UL are from Babar (90% CL) [PR D73 (2006) 092001]:
 - $\mathcal{B}(B^+ \rightarrow K^+ e^+ \mu^-) < 9.1 \times 10^{-8}$
 - $\mathcal{B}(B^+ \rightarrow K^+ e^- \mu^+) < 13 \times 10^{-8}$

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⇒ Recently Belle also put its 5 cents [PRD 98 (2018) 071101]:

- $\mathcal{B}(B \rightarrow K^* e^+ \mu^-) < 1.6 \times 10^{-7}$
- $\mathcal{B}(B \rightarrow K^* e^- \mu^+) < 1.2 \times 10^{-7}$

⇒ NP predictions: 1503.01084, 1504.07928, 1503.07099, 1507.01412

Analysis Strategy

⇒ Blind analysis: signal window looked at after finalizing analysis procedure.

⇒ Analysis strategy:

- Stripping
- Loose preselection
- Target vetos
- Hard MVA and PID selection
- Upper limit setting
- Book airplane ticket to Stockholm (optional ;)

⇒ Dataset: 3 fb^{-1} , Run1.

Normalization

- ⇒ Typically the $b\bar{b}$ cross section has large uncertainty.
- ⇒ It is more beneficial to normalize the decay rate to well known branching fraction ⇒ reduce systematics:

$$\mathcal{B}(B^+ \rightarrow K^+ \mu^\pm e^\mp) = N_{B^+ \rightarrow K^+ \mu^\pm e^\mp} \times \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))}{\varepsilon_{B^+ \rightarrow K^+ \mu^\pm e^\mp}}$$
$$\frac{\varepsilon_{B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)}}{N_{B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)}} = N_{B^+ \rightarrow K^+ \mu^\pm e^\mp} \times \alpha$$

- ⇒ Some systematic cancel in the efficiency ratio.

Stripping

Particle or event	Variable	Cut
Event	n_{SPD}	< 600
B	$ m - m_{\text{PDG}} $	< 1500 MeV
	$\chi^2_{\text{vtx}}/\text{dof}$	< 9
	χ^2_{FD} wrt. PV	> 100
	χ^2_{IP} wrt. PV	< 25
K	DIRA wrt. PV	> 0.9995
	p_T	> 400 MeV
	χ^2_{IP}	> 9
e	p_T	> 300 MeV
	χ^2_{IP} wrt. PV	> 9
	PIDe	> 0
μ	p_T	> 300 MeV
	χ^2_{IP} wrt.PV	> 9
	ISMUON	True
	HASMUON	True
$e\mu$ pair	$m(e\mu)$	> 100 MeV
	$\chi^2_{\text{vtx}}(e\mu)/\text{dof}$	< 9
Dimuon	p_T	> 0 MeV
	m	< 5500 MeV
	$\chi^2_{\text{vtx}}/\text{dof}$	< 9
	χ^2_{FD} wrt. PV	> 16
Dielectron	χ^2_{IP} wrt. PV	> 0
	p_T	> 0 MeV
	m	< 5500 MeV
	$\chi^2_{\text{vtx}}/\text{dof}$	< 9
χ^2_{FD} wrt. PV	> 16	
	χ^2_{IP} wrt. PV	> 0

Preselection: veto peaking backgrounds

⇒ Double semileptonic decays:

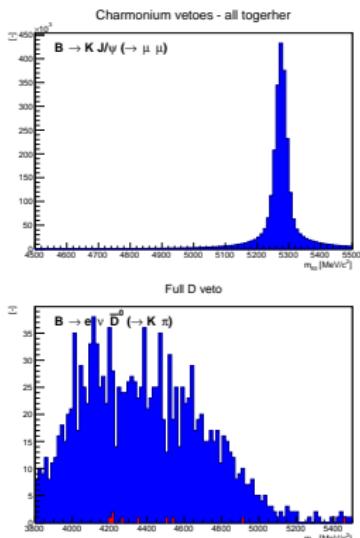
$$B \rightarrow e\nu DX, D \rightarrow \mu\nu KX \text{ or } B \rightarrow \mu\nu DX, D \rightarrow e\nu KX$$

Efficiently removed by: $m_{K\ell} > 1885 \text{ MeV}/c^2$

⇒ Charmonium decays:

$$B \rightarrow J/\psi/\psi(2S)K^+, \text{ with missID daughters.}$$

mass swap	mass region vetoed (MeV)
K with μ mass	$3000 < m_{K^- \mu^+} < 3200$ $3630 < m_{K^- \mu^+} < 3740$
e with μ mass	$2950 < m_{e^- \mu^+} < 3200$ $3630 < m_{e^- \mu^+} < 3740$
K with e mass	$3000 < m_{K^+ e^-} < 3200$ $3630 < m_{K^+ e^-} < 3740$
μ with e mass	$3000 < m_{\mu^+ e^-} < 3200$ $3630 < m_{\mu^+ e^-} < 3740$

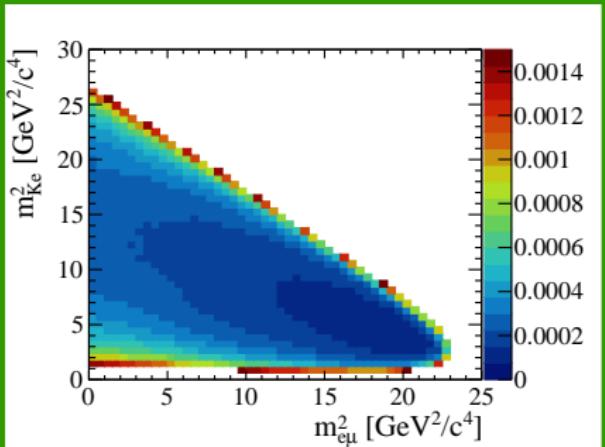


Signal/Control Channel Model

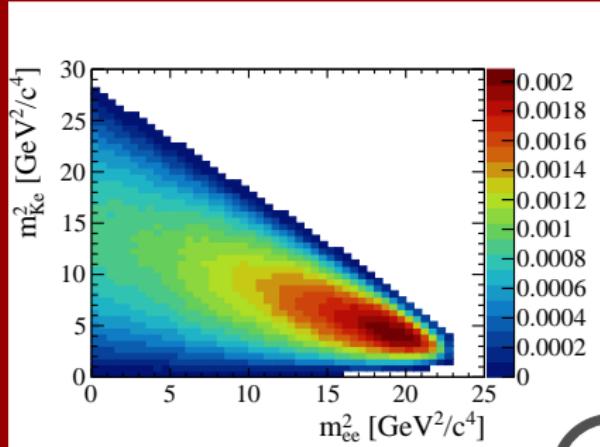
⇒ The MC models that have been used in the analysis needs to be updated:

- $B^+ \rightarrow K^+ e^+ e^-$ decay was generated with PHSP model. Needs to be BTOSBALL.
- $B^+ \rightarrow K^+ \mu^\pm e^\mp$ decay was generated with BTOSBALL model. Needs to be PHSP.

Signal mode



Control mode

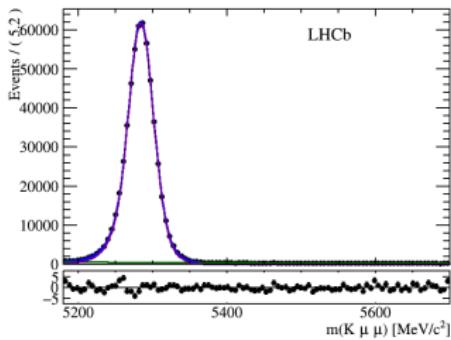


Trigger lines

Channel	Particle	L0 trigger
$B \rightarrow K e \mu$	μ	L0MuonDecision
$B \rightarrow K J/\psi (\rightarrow \mu\mu)$	μ^\pm	L0MuonDecision
$B \rightarrow K J/\psi (\rightarrow ee)$	e^\pm	L0ElectronDecision
Channel	Hlt1 trigger	Hlt2 trigger
$B^+ \rightarrow K^+ \mu^\pm e^\mp$	TrackMuonDecision TrackAllL0Decision	TopoMu[2,3]BodyBBDTDecision Topo[2,3]BodyBBDTDecision SingleMuonDecision SingleMuonLowPTDecision
$B^+ \rightarrow K^+ J/\psi (\mu^+ \mu^-)$	TrackMuonDecision TrackAllL0Decision	TopoMu[2,3]BodyBBDTDecision Topo[2,3]BodyBBDTDecision SingleMuonDecision SingleMuonLowPTDecision
$B^+ \rightarrow K^+ J/\psi (e^+ e^-)$	TrackAllL0Decision TopoE[2,3]BodyBBDTDecision	Topo[2,3]BodyBBDTDecision

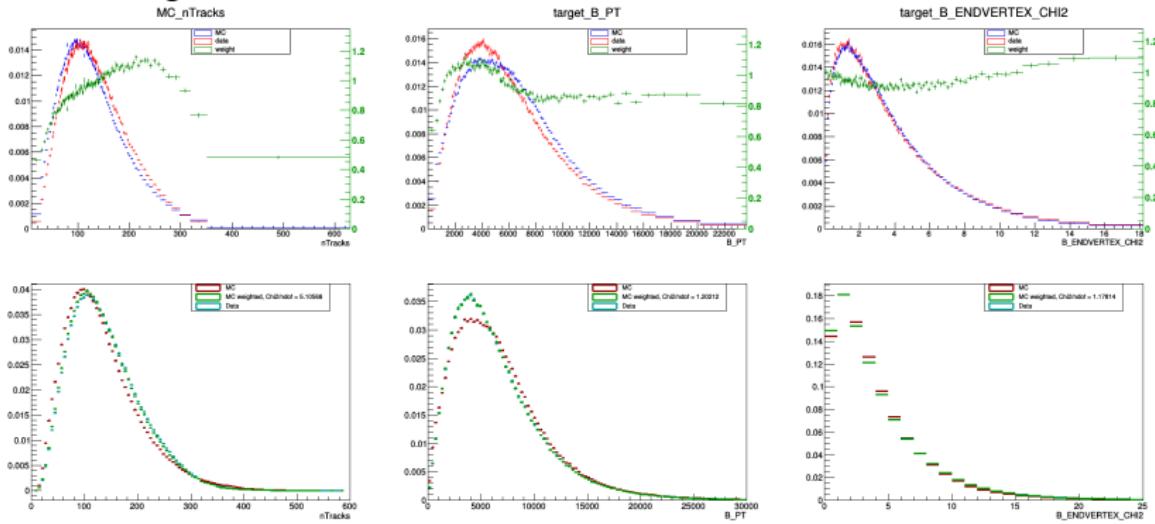
Data/MC differences

- ⇒ MC reweighted to correct for data/simulation differences.
- ⇒ Weights extracted from binned distributions of nTracks, $p_T(B)$ and Vtx χ^2 from $B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)$.
- ⇒ Ssplotted data $B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)$: double Crystal-Ball and exp function.



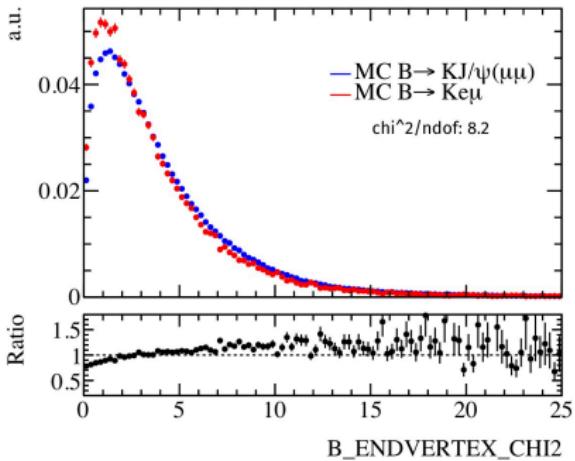
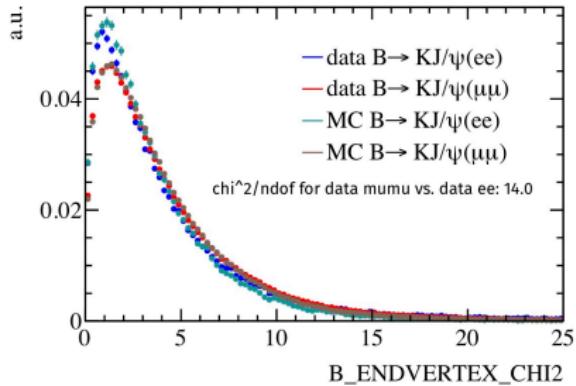
Data/MC differences

- ⇒ Iterative procedure to correct one variable at a time.
- ⇒ Convergence after first iteration of variables.



Electron - Muon difference

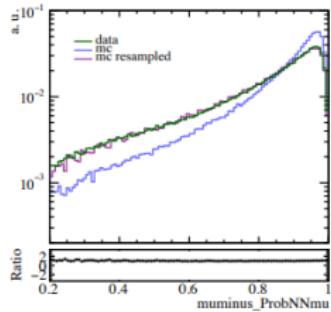
- ⇒ The weights are determined from the muon mode.
- ⇒ The question is the VTX χ^2 the same for electrons?



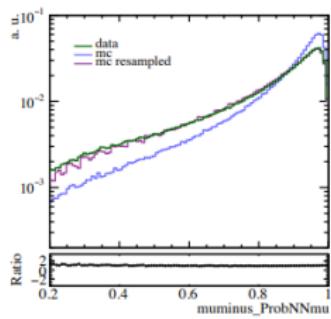
- ⇒ Assign 1.4% as systematic as change of normalisation constant.

PID Resampling

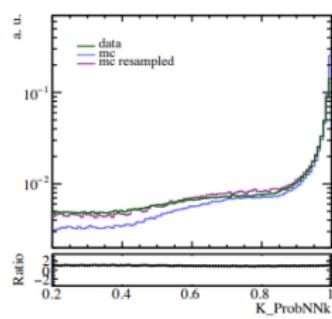
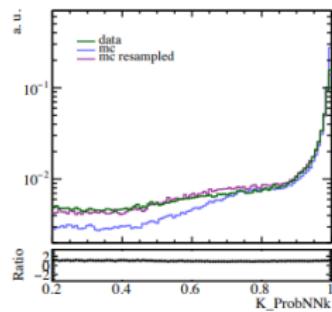
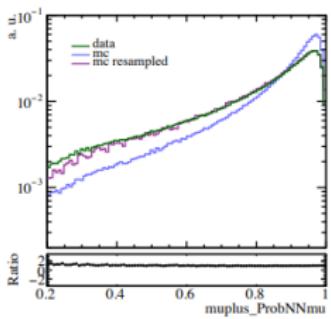
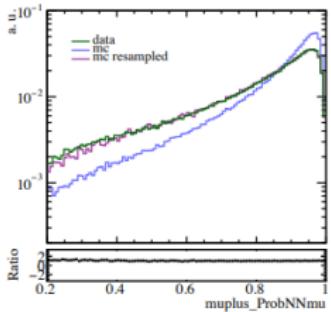
2011



2012

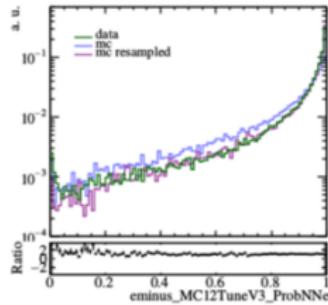


$$B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-)$$

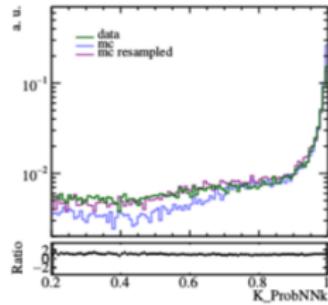
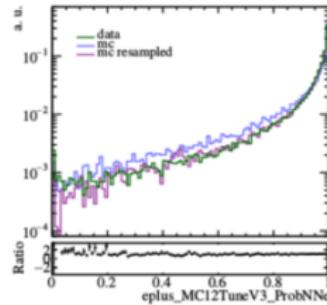


PID Resampling

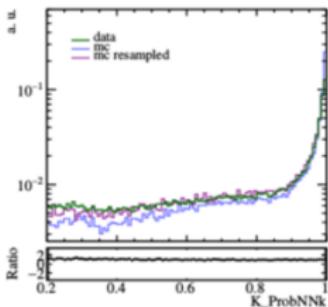
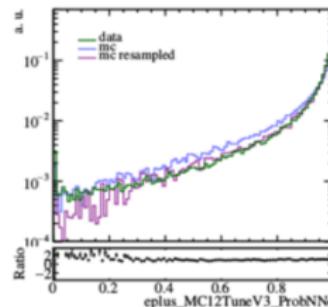
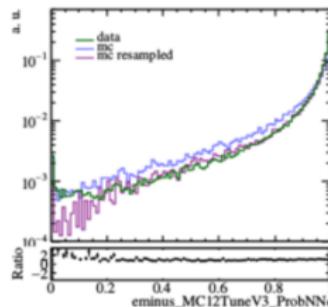
2011



$$B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-)$$



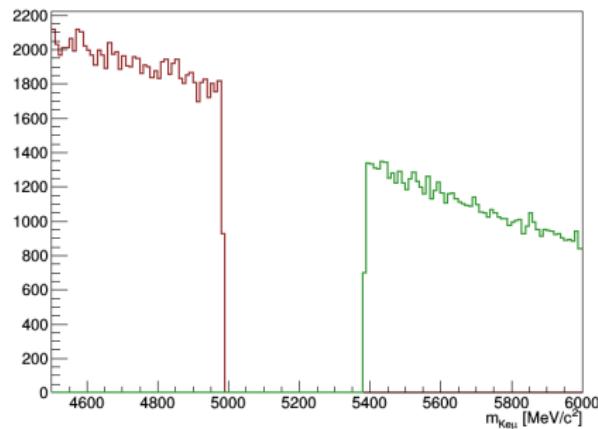
2012



BDT strategy

⇒ Combinatorial background suppression via BDT using upper sideband as background proxy

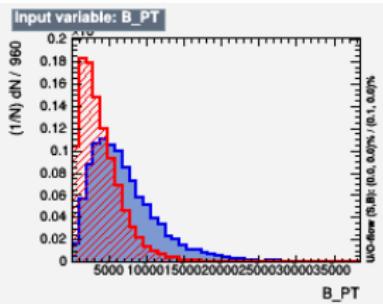
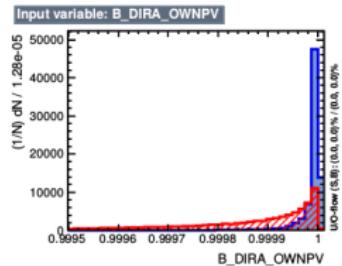
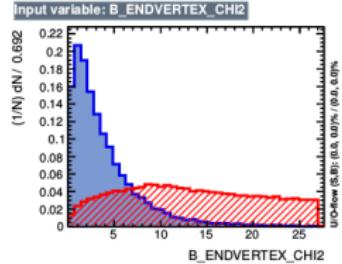
⇒ Partially reconstructed background rejected via BDTHOP using lower sideband as background proxy



⇒ k-Folding technique used, with $k = 10$ folds.

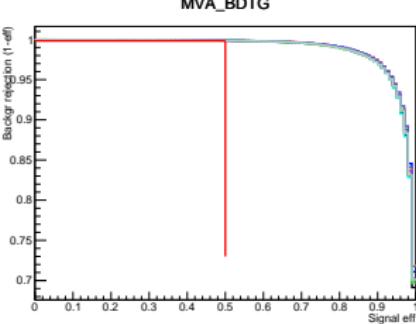
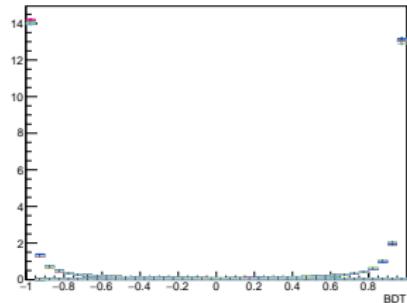
BDT training and results

- the transverse momentum p_T of the B candidate,
- the momentum p of the B candidate,
- the impact parameter χ^2 , χ_{IP}^2 , of the B candidate,
- the direction angle (DIRA) of the B candidate,
- the quality of the $K\mu$ vertex χ^2 ,
- the B flight distance χ^2
- the impact parameter χ^2 , χ_{IP}^2 , of the kaon
- the minimum and maximum of electron and muon IP candidates
- the cut based isolation variables from $B_s \rightarrow \mu\mu$ analysis:
`B_relinfo_BSMUMUTRACKPLUSISOTWO_L1,2` and `B_relinfo_cone_pt_asym_H`.



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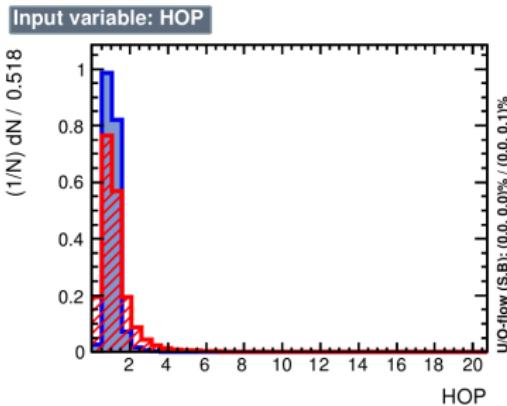
BDTHOP strategy

⇒ Apply a cut:

$BDT > 0.98$ prior to training

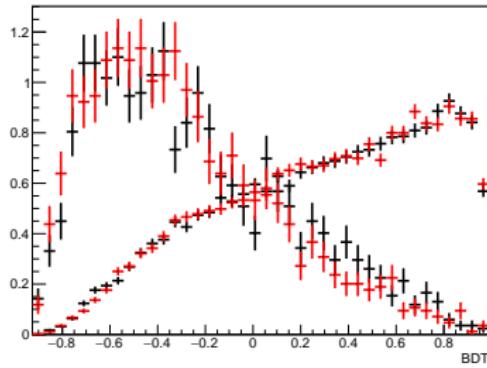
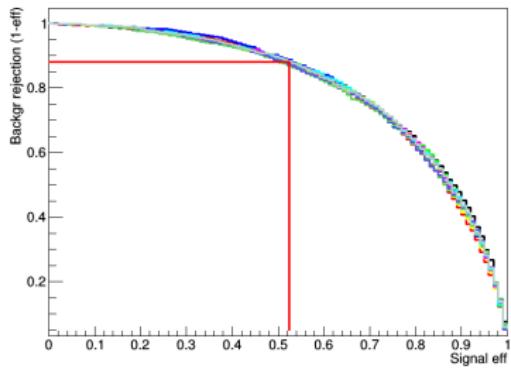
⇒ Use same inputs and strategy as for BDT with additional input of HOP

⇒ Reminder: background proxy is lower mass sideband.



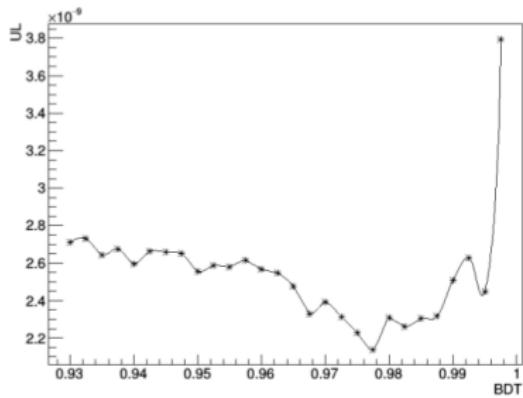
BDTHOP results

⇒ Clearly discriminating power remains.

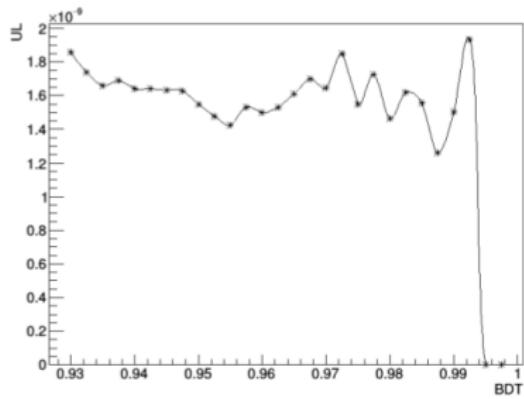


Optimization

- ⇒ Standard question in RD: How to optimize the selection?
- ⇒ We used CL_s method for optimization [Read:451614].
- ⇒ What dataset do you use?
- ⇒ We split the datasets for $B^+ \rightarrow K^+ \mu^- e^+$ and $B^+ \rightarrow K^+ \mu^+ e^-$ and optimize separately.



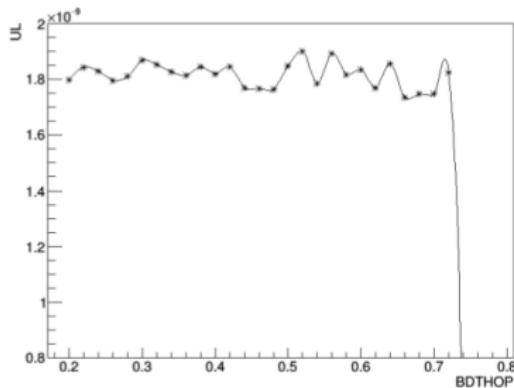
(a) $B^+ \rightarrow K^+ \mu^+ e^-$



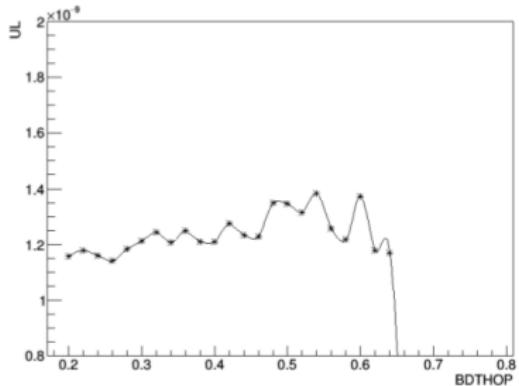
(b) $B^+ \rightarrow K^+ \mu^- e^+$

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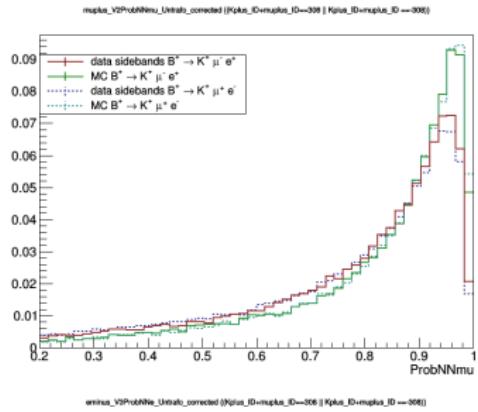
(a) $B^+ \rightarrow K^+ \mu^+ e^-$



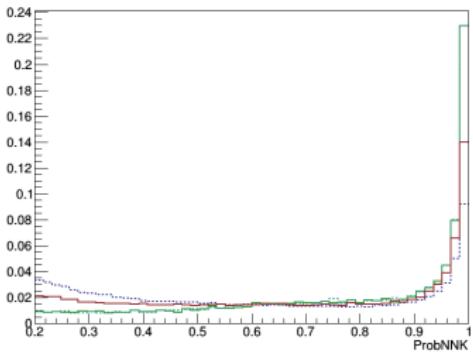
(b) $B^+ \rightarrow K^+ \mu^- e^+$

Optimization - PID

- ⇒ After the BDT and BDTHOP cuts there isn't much events left to perform PID optimization.
- ⇒ Decided to put a conservative cuts.



Kplus_V2ProbNNk_Untruth_corrected (Kplus_ID==306 || Kplus_ID==308)



⇒ Conservative cuts:

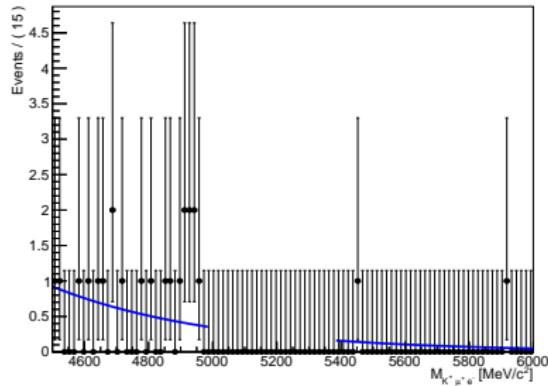
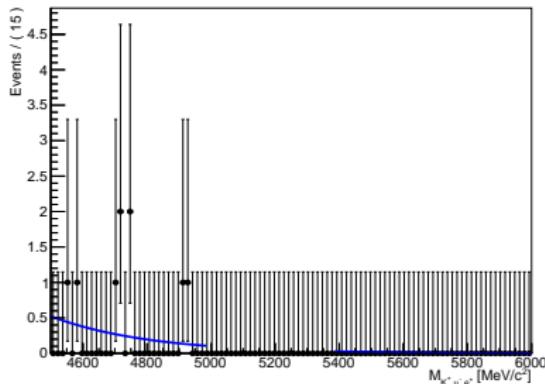
$$\text{ProbNNmu} > 0.7, \quad (1)$$

$$\text{ProbNNe} > 0.65, \quad (2)$$

$$\text{ProbNNk} > 0.65.$$

Optimization summary

⇒ After the full selection this is how our blinded data set looks like:

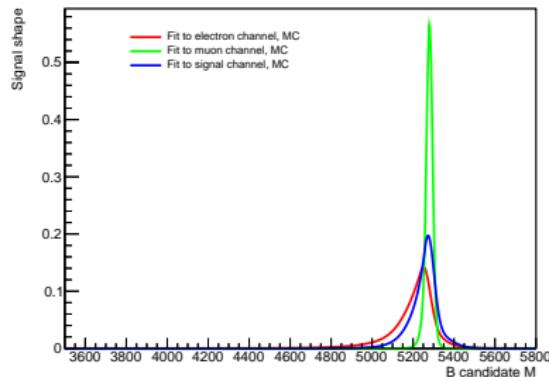
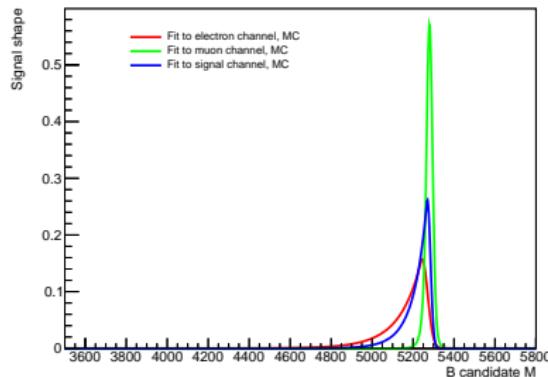


Mode	Expected background
$B^+ \rightarrow K^+ \mu^+ e^-$	3.94 ± 1.15
$B^+ \rightarrow K^+ \mu^- e^+$	0.88 ± 0.64

Signal Mass Model

- ⇒ We need to know the signal model.
- ⇒ We used a data-driven procedure to correct the parameters P :

$$P_{\mu e}^{\text{pred}} = P_{ee}^{\text{data}} + (P_{\mu e}^{\text{MC}} - P_{ee}^{\text{MC}}) \cdot \frac{P_{ee}^{\text{data}} - P_{\mu\mu}^{\text{data}}}{P_{ee}^{\text{MC}} - P_{\mu\mu}^{\text{MC}}} \quad (4)$$



- ⇒ Cross-checked with the $B \rightarrow e\mu$ analysis method

Normalization

⇒ The normalization factor:

$$\alpha = \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))}{\varepsilon_{B^+ \rightarrow K^+ \mu^\pm e^\mp} \cdot \frac{\mathcal{E}_{B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)}}{N_{B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)}}}$$

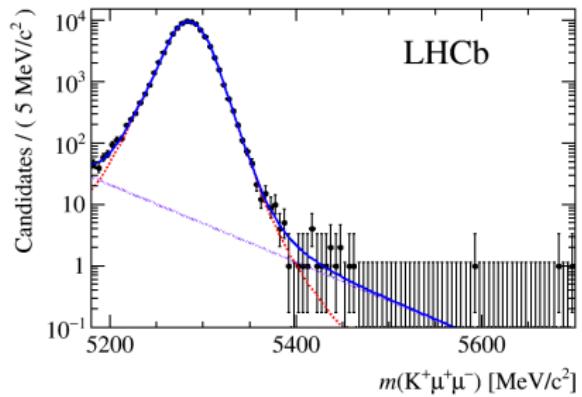
⇒ Control channel yields:

- 2011:

$$N_{B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)} = 26940 \pm 170$$

- 2012:

$$N_{B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)} = 59220 \pm 250$$



$$\mathcal{B}(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)) = (6.021 \pm 0.174) \times 10^{-5} \text{ PDG}$$

Decay	$\alpha/10^{-9}$
$B^+ \rightarrow K^+ \mu^- e^+$	1.97 ± 0.18
$B^+ \rightarrow K^+ \mu^+ e^-$	2.21 ± 0.19

Peaking backgrounds

Monte Carlo samples	full mass region	signal region
$B^+ \rightarrow K^+ \mu^+ \mu^-$	0.12 ± 0.05	0.10 ± 0.04
$B^+ \rightarrow K^+ e^+ e^-$	0.0080 ± 0.0071	0.0068 ± 0.0060
$B^+ \rightarrow K^+ J/\psi (\rightarrow \mu\mu)$	< 0.53	< 0.053
$B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-)$	< 1.05	< 0.21
$B^0 \rightarrow K^{*0} e^+ e^-$	< 0.0014	< 0.00014
$\Lambda_b \rightarrow p K^- \mu\mu$	0.0072 ± 0.0030	0.0029 ± 0.0014
$\Lambda_b \rightarrow p K^- e^+ e^-$	< 0.0012	< 0.00048
$\Lambda_b \rightarrow p K^- J/\psi (\rightarrow \mu\mu)$	< 0.26	< 0.013
$\Lambda_b \rightarrow p K^- J/\psi (\rightarrow e^+ e^-)$	< 1.08	< 0.054
$B^- \rightarrow D (\rightarrow K^- \mu\nu) \nu\mu$	< 2.5	< 0.050
$B^+ \rightarrow D (\rightarrow K^+ e^- \nu) e^+ \mu$	< 0.50	< 0.010
$B^+ \rightarrow D (\rightarrow K^+ \pi^-) e^+ \nu$	< 2.8	< 0.056
$B^+ \rightarrow K^+ \pi^+ \pi^-$	1.8 ± 3.2	0.049 ± 0.82

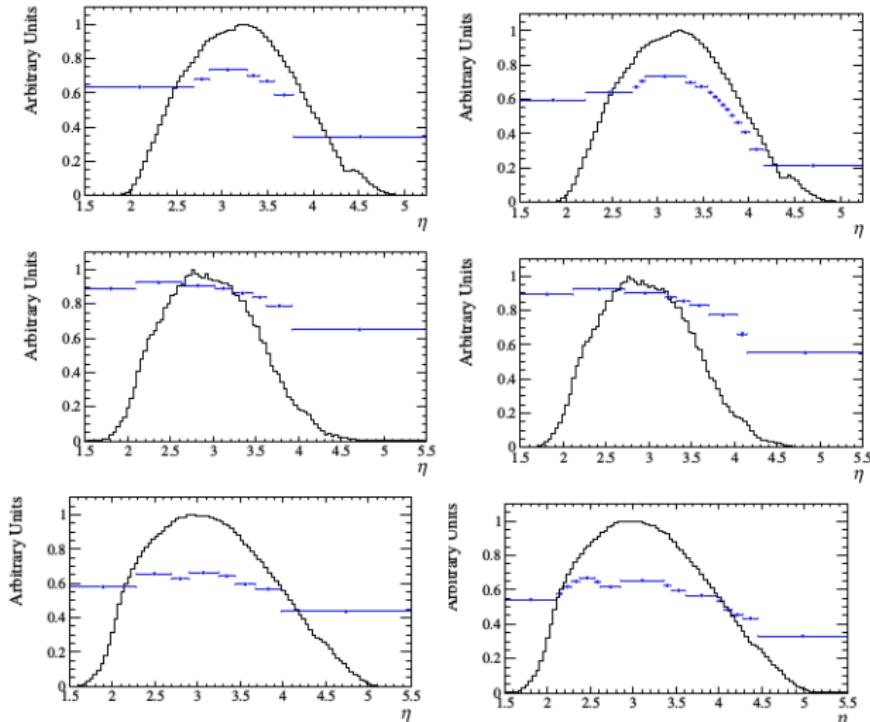
⇒ All backgrounds are suppressed to a negligible level.

Systematics

Effect	$B^+ \rightarrow K^+ \mu^+ e^-$	$B^+ \rightarrow K^+ \mu^- e^+$
Data-MC corrections	1.0	1.0
Electron-muon differences	1.4	1.4
MC model weights	0.2	0.2
Fitting model	2.1	2.1
PID resampling - binning	3.3	4.6
PID resampling - sWeighting	3	3
Background (not in %)	0.68	1.67
Trigger	1.0	1.0
Normalisation	6.8	6.6
Total	8.6	9.1

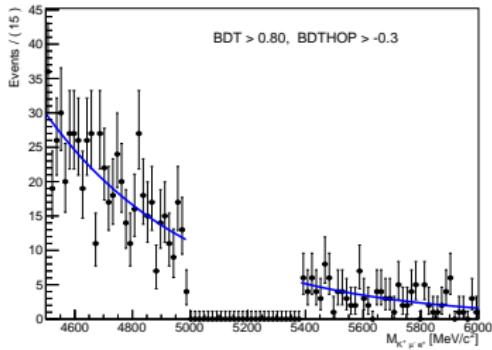
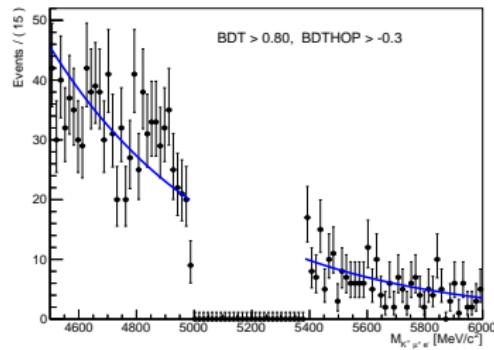
Systematics, PID - binning

- ⇒ To perform resampling we bin the PID efficiency in nTracks, p and η .
- ⇒ To access the systematics the finer and coarser binnings are applied.



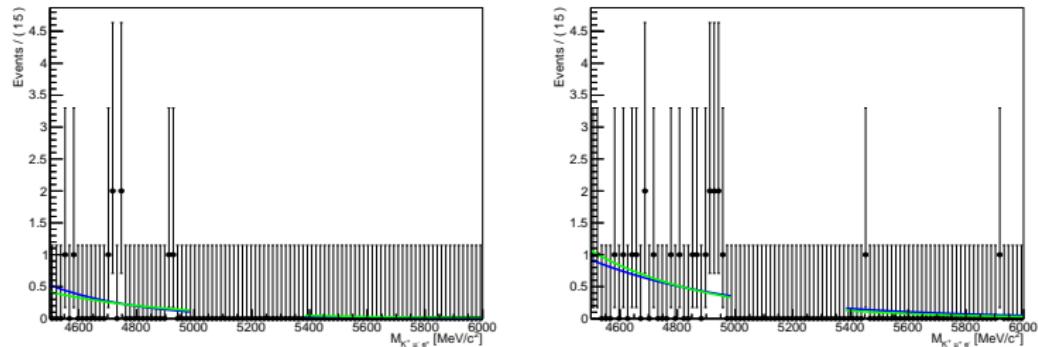
Systematics, Background model

- ⇒ In the nominal fit we assume exponential shape of the background.
- ⇒ The alternative model is determined with a loose selection:



Systematics, Background model

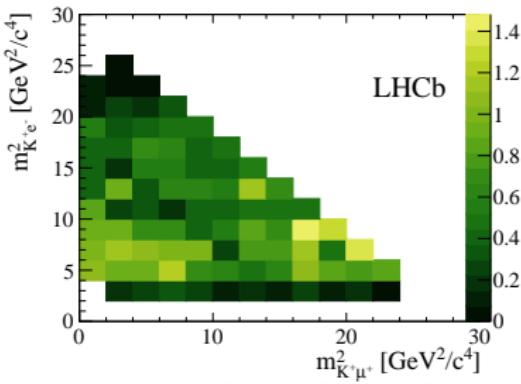
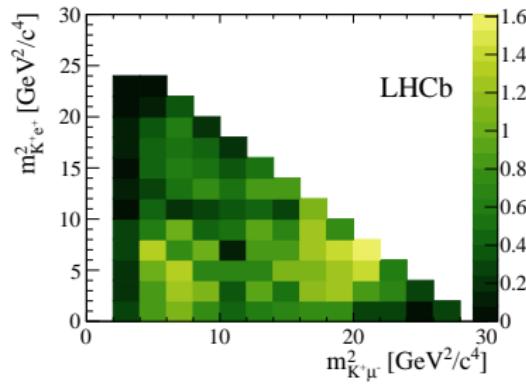
⇒ Now compared to previous fit:



Channel	Background systematic	Nominal fit	Alternative fit
$B^+ \rightarrow K^+ \mu^+ e^-$	0.60	3.93 ± 1.14	3.33 ± 0.69
$B^+ \rightarrow K^+ \mu^- e^+$	0.43	0.88 ± 0.63	1.30 ± 0.43

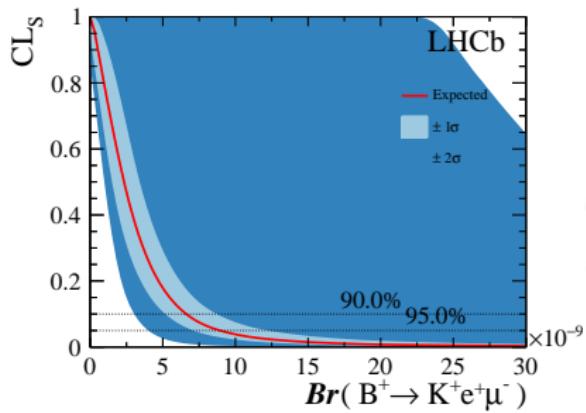
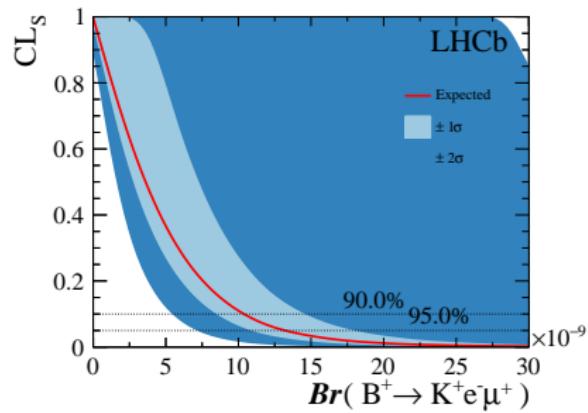
Systematics, Efficiency maps

- ⇒ The upper limits are set assuming PHSP model.
- ⇒ We will also provide the efficiency maps so theorists can interpret the results in their favorite model:



Upper limits

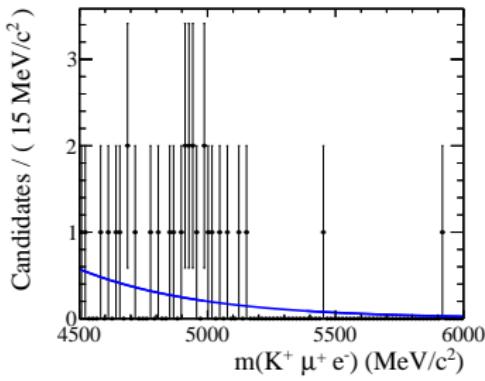
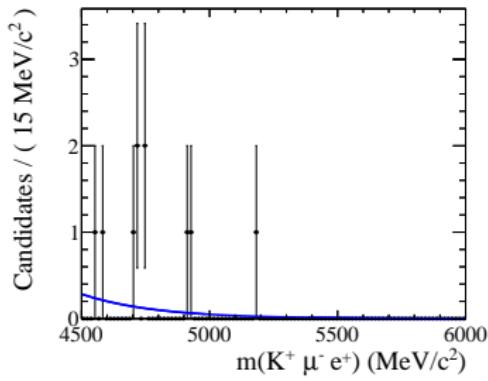
- ⇒ Upper limits set with CL_s method in GammaCombo.
- ⇒ This version of CL_s takes into account the signal and background shape information.
- ⇒ This gains the better expected upper limits by 25% wrt. counting method



Unblinded results

⇒ On the 25th April we have unblinded our dataset.

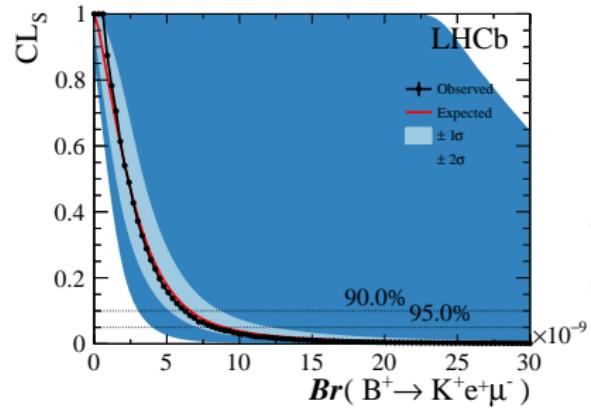
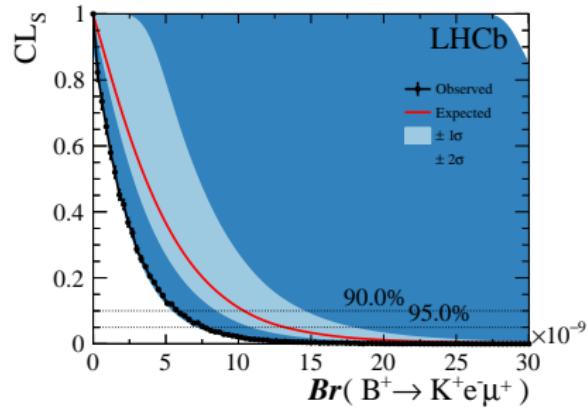
Channel	Expected background events	Observed
$B^+ \rightarrow K^+ \mu^+ e^-$	3.93 ± 1.14	2
$B^+ \rightarrow K^+ \mu^- e^+$	0.88 ± 0.63	1



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⇒ At 90% (95%) confidence level, the observed upper limits for the branching fractions are found to be

$$\mathcal{B}(B^+ \rightarrow K^+ e^+ \mu^-) < 6.3(8.2) \times 10^{-9},$$

$$\mathcal{B}(B^+ \rightarrow K^+ e^- \mu^+) < 5.7(7.6) \times 10^{-9}.$$

$$\mathcal{B}(B^+ \rightarrow K^+ e^\pm \mu^\mp) < 5.7(7.8) \times 10^{-9}.$$

Summary

- ⇒ Search for $B^+ \rightarrow K^+ \mu^\pm e^\mp$ decays has been performed
- ⇒ Over a order of magnitude improvement wrt. to BaBar results
- ⇒ We target PRL.
- ⇒ No significant excess of events has been observed
- ⇒ Proponents ask the collaboration to approve this analysis.

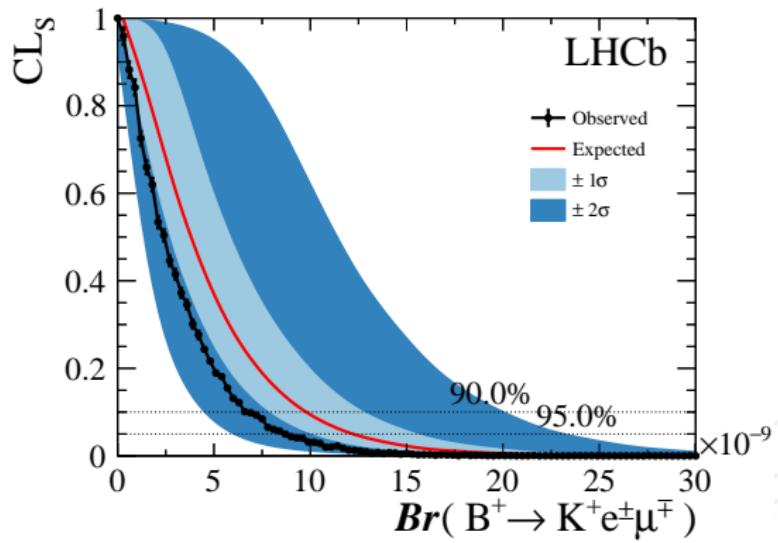
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Many thanks to the RC for their hard work and comments!
Many thanks to Stephane for fast reading the draft.

Backup

Combined banana



So large errors

⇒ $BR = 4.2 \times 10^{-9}$ and $BR = 4.5 \times 10^{-9}$.

